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**FAX FILING IN U.S. PATENT & TRADEMARK OFFICE**

 DATE: February 16, 2007

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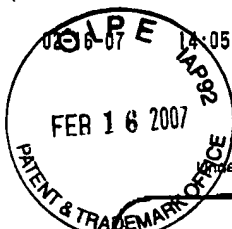
TO: Commissioner for Patents Mail Stop Issue Fee		FAX NO.: (571) 273-2885	
FROM: Lowell L. Carson		ADMIN. ASST.: Linn Carpenter	
APPLN. NO.: 10/813,372		ATTY. DOCKET NO.: MATG-395US	
TITLE OF APPLN.: METHOD FOR MODIFYING EXISTING MICRO-AND NANO-STRUCTURES USING A NEAR-FIELD SCANNING OPTICAL MICROSCOPE			
FILING DATE: March 30, 2004		ART UNIT: 2812	
FIRST INVENTOR: Ming Li		CONF. NO.: 4694	
TITLE OF DOCUMENT (and List of Attachments): Transmittal Form; Issue Fee Transmittal (2); and			
PTO-2038			

 Total Number of Pages: 5 (including this form)

**COMMENTS**
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T-480 P.002/005 F-189

PTO/SB/21 (08-04) (AW 10/2004)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages In This Submission 5

Application Number	10/813,372
Filing Date	March 30, 2004
First Named Inventor	Ming Li
Art Unit	2812
Examiner Name	Richard A. Booth
Attorney Docket No.	MATG-395US

**ENCLOSURES (Check all that apply)**

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
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- ☐ Extension of Time Request
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- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/  
Incomplete Application
  - ☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
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- ☐ Proprietary Information
- ☐ Status Letter
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identify below): Issue Fee  
Transmittal (2); fax cover sheet;  
PTO-2038

**Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm Name	RatnerPrestia		
Signature			
Printed Name	Lowell L. Carson		
Date	February 16, 2007	Registration No.	48,548

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Typed or Printed Name	Linn Carpenter	Date	February 16, 2007

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Linn Carpenter

(Depositor's name)

*Linn Carpenter*  
 February 16, 2007

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/813,372	03/30/2004	Ming Li	MATG-395US	4694

TITLE OF INVENTION: METHOD FOR MODIFYING EXISTING MICRO-AND NANO-STRUCTURES USING A NEAR-FIELD SCANNING OPTICAL MICROSCOPE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FES	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	02/28/2007
					30	
					\$1,730	

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- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 3 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 RatnerPrestia

2

3

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Matsushita Electric Industrial Co., Ltd. Osaka, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are submitted:

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☒ Publication Fee (No small entity discount permitted)  
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## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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*Lowell L. Carson*  
 Lowell L. Carson

Typed or printed name

Date February 16, 2007

Registration No. 48,548

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